Does an Education Intervention Improve Physician Signature Legibility? Pilot Study of a Prospective Chart Review

by James K. Glisson, MD, PharmD; Mary E. Morton, PhD, RHIA; Allyn H. Bond, MD; and Michael Griswold, PhD

Abstract

Illegible physician signatures in patient records can lead to inaccurate documentation, improper billing, and potential legal issues. Many studies in the current literature address legibility of prescriptions and medication orders; however, few focus on legibility of physicians’ signatures. The purpose of the present quality improvement survey was to evaluate physician signature legibility on patient charts at the University of Mississippi Medical Center’s Adult Internal Medicine Clinic. At the time of the study, the clinic was known as the University of Mississippi Medical Center (UMMC) Adult Internal Medicine Clinic. Effective July 1, 2009, UMMC entered into a collaboration with Jackson-Hinds Comprehensive Health Center (JHCHC), a federally qualified health center. The clinic is now known as the Federally Qualified Health Center at the Jackson Medical Mall. In this pilot study, we examined clinic notes and billing sheets for legible physician signatures over a three-month period. Midway through the study, an intervention group was given name stamps and a standardized discussion on the importance of signature legibility and proper name stamp usage. Legibility of resident signatures in the intervention group increased from 26 percent to 60 percent. Legibility of attending signatures in the intervention group increased from 1.4 percent to 86 percent. Results suggest the significant impact of resident education on changing practice behavior.

Keywords: documentation/standards, education/medical/graduate, handwriting, legibility, medical records/standards, physician handwriting, quality assurance/healthcare, quality of care, resident education

Introduction

The patient record serves as a communication tool between caregivers, provides justification for reimbursement of services, and serves as a medicolegal document.1 Prior studies support the belief that physicians’ handwriting is often illegible.2–5 Lack of a legible physician signature can lead to inaccurate documentation, improper billing, potential legal issues, lost time and money, and frustration for members of the healthcare team.6–10 One academic medical center reported that over 61 percent of its support staff spent more than ten minutes clarifying illegible orders.11

Poor legibility can also lead to medical errors, which was brought to light in the Institute of Medicine’s highly publicized report entitled To Err Is Human: Building a Safer Health System.12 This heightened awareness triggered a number of initiatives focused upon improving the safety of care delivered in the United States, including passage of the Patient Safety and Quality Improvement Act of 2005 and creation of the Joint Commission’s National Patient Safety Goals (NPSG) program.13, 14 While
implementation of electronic health records (EHRs) will minimize handwritten documentation, recent
EHR adoption rates in the ambulatory care environment have been estimated at 21.8 percent for a basic
system and 6.9 percent for a fully functional system.\textsuperscript{15} Even though the American Recovery and
Reinvestment Act of 2009 (ARRA) calls for financial incentives to healthcare providers for early
adoption of health information technology, some still speculate that critical mass adoption by the
specified deadline is unlikely.\textsuperscript{16–19} As a result, the need for some handwritten signatures will likely persist
for some time.

The Medicare Conditions of Participation and the Joint Commission accreditation standards require
medical records to be legible and authenticated.\textsuperscript{20, 21} Many studies in the current literature address
legibility of prescriptions and medication orders; however, few focus on legibility of medical record
documentation and physicians’ signatures.\textsuperscript{22–27} To our knowledge, no similar research has been published
in the literature in English on educating residents on the importance of handwriting legibility; however,
one study noted significant improvement in overall record documentation practices with feedback from
the attending physician.\textsuperscript{28} Interventions have been found to improve quality of documentation, as has the
use of name stamps.\textsuperscript{29–33} The purpose of the present survey is to evaluate physician signature legibility on
patient charts at the Adult Internal Medicine Residency Clinic at the University of Mississippi Medical
Center (UMMC). The medical director designed this study, having been approached by multiple
caregivers who were unable to clarify orders due to illegible signatures. After assessing a preliminary
sample of records, the issue was deemed significant enough to warrant further study. We hypothesized
that physician signature legibility would initially be poor with less than 30 percent of signatures being
recognized by two independent reviewers. We proposed that a physician education initiative would
improve legibility.

\textbf{Methods}

A convenience sample of patient charts from September to December 2009 was used for the study.
Any patient seen in the Adult Internal Medicine Residency Clinic during the time of the chart review was
a candidate for inclusion. Physicians were not informed of the chart review in order to minimize bias or
changes in behavior. Charts were reviewed by students and faculty from the UMMC School of Health
Related Professions. A total of six reviewers were utilized in both the pre- and postintervention phases.
These individuals were considered independent reviewers because they were not familiar with the
signatures of the individual physicians, whereas others involved with the clinic may have been able to
recognize specific physician signatures. Two students or faculty reviewed each chart independently to
minimize error and bias. Additionally, all charts included were reviewed by the primary investigator, who
is the medical director of the clinic.

The correct identity of all signatures of both residents and attendings was determined by the primary
investigator using the clinic schedule and the patient’s medical record number. The residents all signed a
signature form at the beginning of their residency, and this was used to help clarify difficult signatures if
the clinic schedule could not resolve the issue of an unclear signature. Data entered by the initial
reviewers, such as the presence or absence of a signature, were validated by the medical director. In the
case of an unreadable signature whose owner could not be identified by the clinic schedule or the master
resident signature list, the signature was deemed unreadable to all and noted as such. The Institutional
Review Board of the University of Mississippi Medical Center approved this research with a waiver of
consent.

\textbf{Inclusion Criteria}

All internal medicine residents or attendings in this clinic were included in the initial pool. A total of
63 internal medicine residents and 18 attendings participate in this clinic. Charts utilized by any of these
physicians were included unless noted otherwise in the exclusion criteria.
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Exclusion Criteria

Medical students were not included, but the staff and residents who work with them were included. Three attendings were excluded because they participated in this research and other quality control measures with residents. Three attendings who are chief residents and two others who infrequently attend the clinic were excluded from the “treatment” group as their chart yield would be low. Thus, only 10 possible attendings were included for the intervention. A total of 10 residents would not be in clinic for at least half of the intervention phase; thus, they were excluded from the randomization, but data were collected on them when possible.

Randomization

The randomization was done using the SAS program to generate a random number list. This list was superimposed on top of the existing resident and attending list. The physicians were each assigned to either an intervention or a control group. Figure 1 documents the number of resident (R) and attending (A) physicians in both the control (R = 30, A = 7) and intervention (R = 23, A = 3) groups.

Intervention

The intervention protocol used is documented below. The medical director conducted individual education sessions with each physician in the intervention group. Specific instructions on how to use the name stamp were provided. The name stamp was monogrammed with the physician’s name, credentials, and “Department of Internal Medicine,” which appeared in block letters. The stamps did not bear the physician’s actual signature, which is a practice prohibited by the Medicare Conditions of Participation and many accrediting organizations. They were also told if they lost the name stamp or if it broke to report this to the medical director and from then on any signature should have the name hand-printed beside it. As noted above, some of the staff were excluded from the intervention because it was felt their yield of charts would be low during the study period. Medical students and non–internal medicine residents had data collected about the attending only and were not individually tracked or included in the intervention.

The safety issues surrounding illegible signatures were addressed individually with each participant in the intervention group. The participants were told the clinic staff was having trouble identifying the writer of a specific clinic note. Thus, the name stamps and following intervention was being implemented with a selected group of physicians. The intervention group was told to use the name stamp, sign charts with a legible signature, and print their name after the signature to avoid any confusion about the author of the note. On a biweekly basis the residents in the intervention group were reminded by the medical director to continue to use the name stamp and write legibly. We desired to mimic real-life situations as much as possible; thus, no penalty for poor cooperation was implemented.

To summarize, the intervention protocol was as follows:
1. Encourage residents/attendings to sign legibly.
2. Encourage residents/attendings to print their name by the signature.
3. Encourage residents/attendings to use the name stamp.
4. Emphasize safety issues with illegible signatures.
5. If the name stamp is lost or unavailable, residents/attendings should print their name by the signature.

Data Collection and Crossover Bias

In order to prevent crossover bias, the residents in the treatment group were asked to not disclose the fact that the name stamp was part of a study or that it was given to them by the medical director. This was done to prevent as much crossover bias as possible. If they told other physicians the stamp was given to them by the medical director, this could introduce bias, especially in the clinics in which the medical director is one of the attendings. It should be noted that there was already some use of name stamps within the clinic, as one of the attendings occasionally distributes them to residents on certain rotations. Therefore, residents in the control group were unlikely to be suspicious of stamp usage by others.
Statistical Analysis

We were unable to find a standardized definition for legibility; however, some organizations consider documentation to be illegible if it cannot be read by two people. Therefore, in this study, a signature was deemed legible if it had a name stamp and/or if it could be read by both of the reviewers. Thus, a legible signature did not solely rely upon the name stamp. If the reviewers disagreed regarding a signature’s legibility, then it was not deemed legible and was considered a “worst-case scenario.” A second analysis was then performed in which the signature was deemed legible if either of the two reviewers could read it; if so, it was referred to as a “best-case scenario.” It should be noted that only the “worst-case scenario” was used in the final analysis. Data were collected in an initial, preintervention period as well as in a postintervention period. Groups were compared on binary outcomes with logistic regression. Generalized estimating equations (GEE) modeling with robust estimation of standard errors was then performed to account for repeated measures from an individual physician.

Results

A total of 343 charts were reviewed. Three attendings and 23 residents were randomized into the stamp (intervention) group. See Table 1 and Figure 1 for participant demographics and the study flow diagram, respectively. One resident’s name stamp broke during the study, and he was told to print his name after his signature and encouraged to continue to sign legibly. Another resident randomized to the intervention group refused to participate in the study and refused to make any changes to his handwriting. One attending in the intervention group left the university during the postintervention phase.

The following paragraph discusses the data obtained and assumes the “worst-case scenario” as described previously (see Table 2). Legibility of resident signatures in the stamp group increased from 26 percent preintervention to 60 percent postintervention (OR = 4.44 (1.83, 10.8), \( p = 0.001 \)). Legibility of attending signatures in the stamp group increased from 1.4 percent preintervention to 86 percent postintervention (OR = 448 (18, 11204), \( p = .0001 \)). Thus, the data support our original hypothesis that both groups would have less than 30 percent legibility before the intervention. In the resident group, odds of improving legibility were more than five times greater in the stamp group than in the control group (OR = 5.49 (1.42, 21.28), \( p = .014 \)). For the attending group, the odds of improving legibility were 316 times greater in the stamp group than the control group (OR = 316 (11, 9128), \( p = .001 \)). See Table 2 and Figure 2 for additional information regarding pre- and postintervention legibility. Note that the odds ratios are so large because of the enormity of the effect, not from any model-fitting difficulties. Table 3 shows the percent agreement of reviewers as well as the kappa statistic for each group. A kappa statistic of 0.41–0.6 shows moderate agreement and 0.61–0.8 shows substantial agreement. The results of the two analyses (worst-case and best-case) were qualitative; therefore, only the former is included. Results of both analyses are available from the authors by request. Finally, we examined all data for any differences based upon ethnicity and gender, and none were deemed statistically significant.

Discussion

While this is a small-scale study, one cannot ignore the profound effects that the education intervention provided, especially among members of the attending stamp group. The substantial differences between the attending and resident odds ratios may be due to the fact that faculty more acutely recognize the serious ramifications of poor legibility. However, improvement in resident handwriting was substantial. Physicians in the intervention group improved their written signature legibility during the postintervention phase. This group also showed improved legibility for additional written documentation (not just signatures), suggesting that the educational component of the intervention was successful. Further investigation of resident behaviors may determine the impact of an intervention such as the one described on the quality of handwriting in postresidency practice.

Our results are somewhat consistent with a limited number of prior studies. Medford and France also noted a substantial improvement in signature legibility (81 percent) after implementing use of name stamps for authenticating case notes. Boehringer et al. observed similar findings after introducing name
stamps to 34 percent of the residents on staff. A study by Daly et al. revealed 100 percent signature legibility when accompanied by printed or stamped name. Other studies have observed noteworthy improvements in legibility post intervention. 

Our study differs from previous studies in that it integrates both an educational intervention and the use of a name stamp. While some prior studies have incorporated one-on-one feedback with individual physicians regarding the quality of their own documentation, we did not find any studies in the literature where educational sessions were conducted with an intervention group. Our study is also unique in its use of multiple reviewers to determine signature legibility. Prior studies have utilized clerical medical records staff or a single reviewer to audit the legibility of signatures.

Limitations

This investigation is not without limitations. Only internal medicine residents and attendings at our university clinic were included, which may not reflect behaviors of physicians in different clinical specialties or those practicing in nonacademic settings. Multiple reviewers were used to account for and report on variations in rating legibility, and a standardized definition of legibility does not exist. Additionally, despite efforts to prevent bias, some physicians may have disclosed the nature of this research to their participating colleagues. Furthermore, one resident refused to participate in the intervention group, and only 3 of 10 attendings were randomized into the intervention group. Finally, while it appears the educational component was the influential factor for creating behavioral change, data were collected on legibility of signatures only. A follow-up study to collect data on overall legibility outcomes would be required to confirm this assumption. A multicenter investigation involving physicians in various specialties would provide more comprehensive information on physician legibility. However, despite these limitations, such a significant benefit in the intervention group cannot be disregarded.

Conclusions

This study was conceived by the clinic director because of illegible signatures in the medical record creating problems in arranging follow-up and communicating abnormal lab results to the appropriate physician. It is the duty of the residency program to teach the importance of legible documentation, including signatures, to its trainees with the goal of lifelong behavior modification. Health information management (HIM) practitioners can assist physician faculty in developing educational programs targeted at improving handwriting legibility. Similar programs might also be incorporated into existing clinical documentation improvement programs. In nonacademic settings, service-line coders with responsibilities for educating clinical staff in documentation and compliance issues may provide guidance and ongoing feedback to providers. Legible documentation to support reimbursement is becoming even more critical as external auditing programs are put into place. Clear documentation will be crucial for supporting a defensive strategy in the Recovery Audit Contractor (RAC) and the Medicaid Integrity Contractor (MIC) audits.

Although this was a pilot study, it does indicate the significance of educating residents on changing practice behavior. A larger-scale study conducted over a longer period of time that extends into the postresidency period may support the significance of an education intervention on handwriting behavior. Poor physician handwriting can cause errors in medical management and contribute in other ways to patient adverse events. An illegible physician signature has numerous implications relating to patient safety. Illegible signatures may lead to inaccurate documentation by other healthcare professionals and delays in patient care, especially in an emergent situation. As demonstrated in this investigation, a standardized educational initiative improved physician signature legibility. The transition to an EHR system will help accurately document physician encounters; however, some institutions or clinics may not transition to this technology in the near future and will continue to have at least some paper documentation. Thus, methods such as the one demonstrated in this research will help alleviate issues related to physician identity and signature legibility.
Disclaimer

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Notes


24. Daly, P., F. J. Moloney, M. Doyle, and J. B. O’Mahony. “Legibility of Doctor’s Signatures: Novel Approaches to Improving an Age-Old Problem.”


31. Opila, Donald A. “The Impact of Feedback to Medical Housestaff on Chart Documentation and Quality of Care in the Outpatient Setting.”

32. Daly, P., F. J. Moloney, M. Doyle, and J. B. O’Mahony. “Legibility of Doctor’s Signatures: Novel Approaches to Improving an Age-Old Problem.”


40. Daly, P., F. J. Moloney, M. Doyle, and J. B. O’Mahony. “Legibility of Doctor’s Signatures: Novel Approaches to Improving an Age-Old Problem.”
42. Opila, Donald A. “The Impact of Feedback to Medical Housestaff on Chart Documentation and Quality of Care in the Outpatient Setting.”
44. Dunn, Rose T. “Legibility Creates Documentation Challenges.”
Table 1

Demographic Distribution of Participants \((N = 63)\)

<table>
<thead>
<tr>
<th>Participant Profile</th>
<th>Control Group</th>
<th>Stamp Group</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Residents (N = 53)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gender</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Female</td>
<td>16 (53%)</td>
<td>9 (39%)</td>
</tr>
<tr>
<td>Male</td>
<td>14 (47%)</td>
<td>14 (61%)</td>
</tr>
<tr>
<td>Ethnicity</td>
<td></td>
<td></td>
</tr>
<tr>
<td>White</td>
<td>9 (30%)</td>
<td>5 (22%)</td>
</tr>
<tr>
<td>Nonwhite</td>
<td>21 (70%)</td>
<td>18 (78%)</td>
</tr>
<tr>
<td>Years in residency</td>
<td></td>
<td></td>
</tr>
<tr>
<td>First-year</td>
<td>10 (33%)</td>
<td>7 (30%)</td>
</tr>
<tr>
<td>Second-year</td>
<td>8 (27%)</td>
<td>10 (44%)</td>
</tr>
<tr>
<td>Third-year</td>
<td>12 (40%)</td>
<td>6 (26%)</td>
</tr>
<tr>
<td>Medical school location</td>
<td></td>
<td></td>
</tr>
<tr>
<td>U.S.</td>
<td>24 (77%)</td>
<td>23 (92%)</td>
</tr>
<tr>
<td>Non-U.S.</td>
<td>7 (23%)</td>
<td>2 (8%)</td>
</tr>
<tr>
<td>Attendings (N = 10)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gender</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Female</td>
<td>3 (43%)</td>
<td>2 (67%)</td>
</tr>
<tr>
<td>Male</td>
<td>4 (57%)</td>
<td>1 (33%)</td>
</tr>
<tr>
<td>Ethnicity</td>
<td></td>
<td></td>
</tr>
<tr>
<td>White</td>
<td>5 (71%)</td>
<td>3 (100%)</td>
</tr>
<tr>
<td>Nonwhite</td>
<td>2 (29%)</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>Years in practice</td>
<td>Average</td>
<td>Mean (SD)</td>
</tr>
<tr>
<td></td>
<td>8.14 (9.63)</td>
<td>17.67 (12.42)</td>
</tr>
</tbody>
</table>
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Table 2

Worst-Case Scenario Results

<table>
<thead>
<tr>
<th></th>
<th>Control Group</th>
<th>Intervention Group</th>
<th>Comparison between Intervention and Control Groups (OR)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Preintervention</td>
<td>Postintervention</td>
<td></td>
</tr>
<tr>
<td>All physicians</td>
<td>28% (15%, 48%)</td>
<td>13% (6%, 28%)</td>
<td>0.39 (0.11, 1.38)</td>
</tr>
<tr>
<td>Postintervention</td>
<td>30% (15%, 51%)</td>
<td>76% (49%, 91%)</td>
<td>7.50 (1.69, 33.34)</td>
</tr>
<tr>
<td>Within-group change (OR)</td>
<td>1.06 (0.52, 2.18)</td>
<td>20.34 (3.22, 128)</td>
<td></td>
</tr>
<tr>
<td></td>
<td><em>p = .087</em></td>
<td><em>p = .001</em></td>
<td></td>
</tr>
<tr>
<td>Stamp Effect:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>OR = 19.15 (2.65, 138)</td>
<td><em>p = .003</em></td>
<td></td>
</tr>
<tr>
<td>Residents</td>
<td>Preintervention</td>
<td>Postintervention</td>
<td></td>
</tr>
<tr>
<td></td>
<td>32% (19%, 48%)</td>
<td>26% (15%, 40%)</td>
<td>0.75 (0.29, 1.94)</td>
</tr>
<tr>
<td></td>
<td><em>p = .55</em></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>OR = 0.81 (0.29, 2.25)</td>
<td>OR = 4.44 (1.83, 10.8)</td>
<td></td>
</tr>
<tr>
<td></td>
<td><em>p = .679</em></td>
<td><em>p = .001</em></td>
<td></td>
</tr>
<tr>
<td>Stamp Effect:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>OR = 5.49 (1.42, 21.28)</td>
<td><em>p = .014</em></td>
<td></td>
</tr>
<tr>
<td>Attendings</td>
<td>Preintervention</td>
<td>Postintervention</td>
<td></td>
</tr>
<tr>
<td></td>
<td>26% (7%, 61%)</td>
<td>1.4% (0.1%, 13%)</td>
<td>0.041 (0.002, 0.68)</td>
</tr>
<tr>
<td></td>
<td><em>p = .026</em></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>OR = 1.41 (0.54, 3.74)</td>
<td>OR = 448 (18, 11204)</td>
<td></td>
</tr>
<tr>
<td></td>
<td><em>p = .48</em></td>
<td><em>p = .0001</em></td>
<td></td>
</tr>
<tr>
<td>Stamp Effect:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>OR = 316 (11, 9128)</td>
<td><em>p = .001</em></td>
<td></td>
</tr>
</tbody>
</table>
### Table 3

Intra-rater Reliability for Worst-Case Scenario

<table>
<thead>
<tr>
<th></th>
<th>Residents Second Rater</th>
<th>Attendings Second Rater</th>
<th>All Physicians Second Rater</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Not Legible</td>
<td>Legible</td>
<td>Not Legible</td>
</tr>
<tr>
<td>First rater</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Not legible</td>
<td>42.86%</td>
<td>4.37%</td>
<td>53.13%</td>
</tr>
<tr>
<td>Legible</td>
<td>19.83%</td>
<td>32.94%</td>
<td>9.54%</td>
</tr>
<tr>
<td>Percent agreement</td>
<td>75.8% (71%–80%)</td>
<td>85.56% (82%–89%)</td>
<td>80.85% (78%–84%)</td>
</tr>
<tr>
<td>Kappa statistic</td>
<td>0.52 (0.44–0.61)</td>
<td>0.70 (0.62–0.77)</td>
<td>0.61 (0.55–0.67)</td>
</tr>
</tbody>
</table>
Figure 1

Study Flow Diagram

- Attendings (A) = 18
- Residents (R) = 63

Ineligible Physicians
Excluded (see methods)
A = 8 and R = 10

Randomized:
A = 10, R = 53
Charts = 343

Control Group
A = 7, R = 30

Preintervention Period
A charts = 176
R charts = 152

Postintervention Period
A charts = 55
R charts = 70

Stamp Group
A = 3, R = 23

Preintervention Period
A charts = 71
R charts = 78

Postintervention Period
A charts = 65
R charts = 43

\(^a\)One attending in the stamp group left the university during the postintervention period.
Figure 2

Pre- and Post-intervention Legibility Results